

Please type a plus sign (+) inside this box ⇒

+

01-28-02

PTO/SB/05 (1/98)

Approved for use through 09/30/00 OM 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

M-7199-4D US

First Named Inventor or  
Application Identifier

George M. White, James J. Buteau, Glen E. Shires, Kevin J. Surace, Steven Markman

Title

DISTRIBUTED VOICE USER INTERFACE

Express Mail Label No.

EV052132585US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form - *see page 2 of this form.*  
(Submit an original, and a duplicate for fee processing)

2. Application:

- ☒ Specification: (preferred arrangement set forth below)  
Descriptive title of the Invention,  
Cross References to Related Applications,  
Reference to Microfiche Appendix,  
Background of the Invention,  
Brief Summary of the Invention,  
Brief Description of the Drawings, and  
Detailed Description (all totaling 66 pages)  
Appendix(ces) \_\_\_\_\_, & \_\_\_\_\_ (\_\_\_\_\_ pages)

- ☒ Claim(s) 10 pages

- ☒ Abstract of the Disclosure 1 page

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 5]

4. Oath or Declaration ☐ unsigned [Total Pages \_\_\_\_\_]

- a. ☐ Newly executed (original or copy)

- b. ☒ Copies from prior application (37 CFR

§1.63(d))

(for continuation/divisional with Box 17 completed)

- c. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☒ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix  
consisting of \_\_\_\_\_ pages of microfiche containing \_\_\_\_\_  
frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) \_\_\_\_\_ pages

9. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney  
(combined when there is an \_\_\_\_\_ with Patent Declaration  
Assignee) \_\_\_\_\_ above.)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure ☐ \_\_\_\_\_ Copies of IDS  
Statement (IDS) & ☐ PTO-1449 Citations/References

12. ☒ Preliminary Amendment 7 pages

13. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)

14. Small Entity Status

- ☐ Small Entity Statement Enclosed \_\_\_\_\_ pages

- ☐ Statement filed in prior application; and  
status still proper and desired

- ☐ Is no longer claimed.

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other:

☒ Copy of Amendment to Correct Inventorship, Petition  
Under 37 C.F.R. § 1.48(a), Statement by Kevin J. Surace; signed  
Declaration, and Consent of Inventorship by Assignee

☒ Copy of Amendment to Correct Inventorship, Request  
Under 37 C.F.R. § 1.48(a), signed Declaration, and Statement of  
Steven Markman

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment.

- ☐ Continuation ☒ Divisional of prior application No. 09/290,508

Filed on April 12, 1999, entitled: Distributed Voice User Interface.

PRIOR APPLICATION INFORMATION: Examiner M. Lerner Group Art Unit 2641

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

Name

Philip W. Woo

Reg. No. 39,880

Attorneys for  
Applicant

Skjerven Morrill MacPherson LLP

Address

3 Embarcadero Center, Suite 2800

City

San Francisco

State

CA

Zip Code

94111

Country:

United States

Telephone

(415) 217-6000

Fax

(415) 434-0646

Please type a plus sign (+) inside this box



PTO/SB/29 (1/98)  
Approved for use through 09/30/00 OMB 0651-0032  
Patent and Trademark Office U S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
20	TOTAL CLAIMS (37 CFR 1.16(c))	-20	= 0	x \$18	= \$ 0.00
4	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	= 1	x \$84	= \$ 84.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))		+ \$280.00		=
			BASIC FEE (37 CFR 1.16(a))		= \$ 740.00
			Total of above Calculations		= \$ 824.00
			Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).		=
			TOTAL		= \$ 824.00


20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

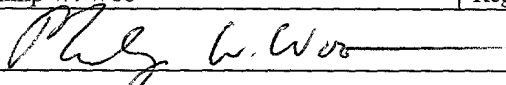
21. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

### 22. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> New correspondence address below
<b>27869</b>		
PATENT TRADEMARK OFFICE		
NAME		
ADDRESS		
CITY	STATE	ZIP CODE
COUNTRY	TELEPHONE	FAX

### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 3 Embarcadero Center, Suite 2800 San Francisco, CA 94111 Tel. (415) 217-6000 Fax. (415) 434-0646	
Date:	January 22, 2002
Name	Philip W. Woo Reg. No. 39,880
Signature	
Express Mail Label No.	EV052132583US